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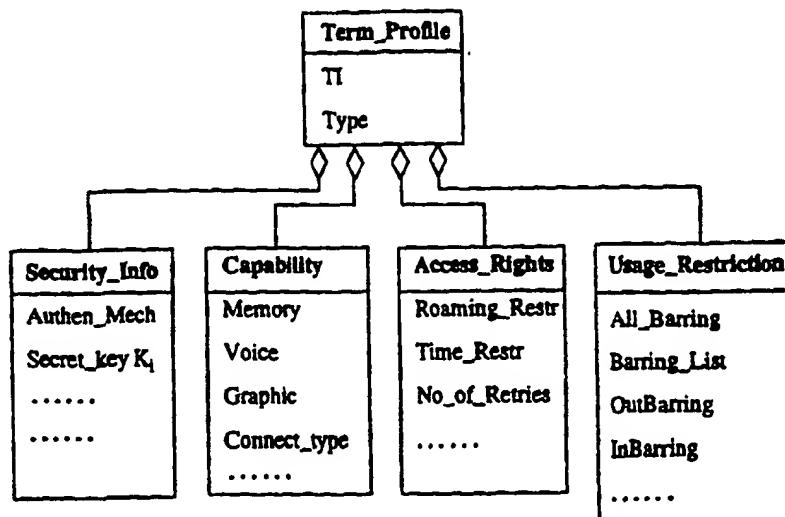
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(21) International Application Number: PCT/NO98/00109  (22) International Filing Date: 2 April 1998 (02.04.98)  (30) Priority Data: 971605 8 April 1997 (08.04.97) NO  (71) Applicant (for all designated States except US): TELEFONAKTIEBOLAGET LM ERICSSON [SE/SE]; S-126 25 Stockholm (SE).  (72) Inventor; and (75) Inventor/Applicant (for US only): THANH, Do, Van [NO/NO]; Stjernemyrveien 28, N-0673 Oslo (NO).  (74) Agent: OSLO PATENTKONTOR AS; Postboks 7007 M, N-0306 Oslo (NO).	(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).
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## Published

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(54) Title: ARRANGEMENT FOR IMPROVING SECURITY IN A COMMUNICATION SYSTEM SUPPORTING USER MOBILITY



The Information object Term\_Profile

## (57) Abstract

The present invention relates to an arrangement for improving security in a communications system, especially a telecommunications system, said system comprising distributed hardware and software components which interact in order to provide services to one or more users, and for the object of implementing this improvement this can according to the present invention be done by introducing in said system a generic access control. In a specific embodiment the invention suggests three types of access control especially related to access to the terminal in question, to the telecom system and to the requested services.

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## ARRANGEMENT FOR IMPROVING SECURITY IN A COMMUNICATION SYSTEM SUPPORTING USER MOBILITY

### FIELD OF THE INVENTION

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The present invention relates to an arrangement for improving security in a communications system, especially a telecommunications system, said system comprising distributed hardware and software components which interact in order to provide services to one or more users.

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More specifically the present invention concerns a user access control for distributed systems that support user mobility, i.e. users are allowed to move and use different terminals to access services.

### 15 BACKGROUND OF THE INVENTION

The Access control is the procedure used by the telecom system domain to ensure that the user accesses the telecom system domain in accordance with the restrictions specified at subscription [1]. When mobility is supported, every user will have the possibility to use any terminals at any access points. The access control procedure is also intended to limit the access capability of a user for the protection and privacy of third party. The third party can be the owner of the terminal or the access point, and must have the right to block or deblock, suspend or reset the service delivery at his terminal or access point to a user.

When the user is allowed to move and access to the telecommunication services anywhere and at any time, the risk of threats increases dramatically at the same time as the mechanisms necessary to enforce security

become more difficult to realise. In systems supporting general mobility, fraudulent use of anyone's subscription can be attempted from any terminal and at any network access point. In this way the user may be exposed to various forms of fraud as, for example, fraudulent use of the user's

5 resources by unauthorised parties who manage to take up the identity of the user, eavesdropping, unauthorised tapping or modification of information exchanged during communication, and disclosure of the user's physical location [4]. Another security problem arises because the user is allowed to use any terminal and at any network access point. Such a temporary usage may conflict with the use of the terminal by the terminal owners, also referred to as third parties [6]. In principle, third parties should not suffer in terms of loss of privacy or freedom of actions as a result of activities by the mobile user.

## 15 STATE OF THE ART

With mobility, users may make use of any existing and available terminals and network access points. However, this does not mean that the terminal owner (the third party) has to accept such actions on his terminal.

20 He must have the rights to restrict the usage of the terminal, e.g. only allowing certain users while others are prohibited from using the terminal.

This may be done in many ways, e.g. by keeping the terminal in a secured place, use local password, etc., but such measures are cumbersome for the owner and often not secure enough. This is commonly referred as the protection of third parties.

The UPT (Universal Personal Telecommunication) [4] system comprises some sort of access control mechanisms but they are limited to telephony services and to voice terminals or telephone.

- 5 Consequently, there is a need for an improved user access control for distributed systems supporting user mobility.

#### OBJECTS OF THE INVENTION

10 The present invention has for an objective to address any mobile distributed system, any types of applications, i.e. voice, data, image, video, interactive, multimedia, etc., for in such mobile distributed systems to introduce an improved access control.

15 A further object of the present invention is to introduce a generic access control in such distributed systems.

Still another object of the present invention is to introduce such a generic access control for distributed systems supporting user mobility which can  
20 be used in mobile distributed systems comprising public or private, local-area or wide-area, wireline or wireless networks.

#### BRIEF DISCLOSURE OF THE INVENTION

25 The above objects are achieved in an arrangement as stated in the preamble, which primarily is characterised by introducing in said system a user access control, for thereby enforcing security in communications systems..

In other words, the invention also suggests that this type of generic access control is related to personal mobility.

Further features and advantages of the present invention will appear from  
5 the following description taken in conjunction with the enclosed drawings,  
as well as from the appending patent claims.

#### BRIEF DISCLOSURE OF THE DRAWINGS

10 Fig. 1 is a schematic diagram illustrating the main subject matter to  
which the present invention is related, namely by illustrating a user's access  
to the services in question.

15 Fig. 2 is a schematic diagram illustrating an embodiment of the present  
invention for carrying out access control, especially in relation to a information object Term\_Profile.

Fig. 3 is a schematic diagram illustrating an embodiment of a Terminal\_Data object.  
20

Fig. 4 illustrates a computational model of the access control of a user for  
use of a terminal.

25 Fig. 5 is a schematic diagram illustrating a user\_registration object containing a list of allowed services.

Fig. 6 is a schematic diagram illustrating the relation between user domain, terminal domain and telecom system domain as well as an embodiment of access control on the access to the telecom system.

Fig. 7 is a block diagram illustrating the relation between user domain, terminal domain and telecom system domain, as well as an embodiment of access control on the axis to the telecom system.

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## DETAILED DESCRIPTION OF EMBODIMENT

As stated previously, the present invention relates to user access control for distributed systems that support user mobility which means that the  
10 users are allowed to move and use different terminals to access services available to them.

In Fig. 1 there is illustrated a user which has access to a terminal which in turn is communicating with a telecom system which in turn is offering a  
15 plurality of services.

Before allowing the user to access the services offered by the telecom system domain, he is subject to three types of access control

- 20     • access control concerning the use of the current terminal (protection of third party)
- access control concerning the access to the telecom system
- 25     • access control concerning the use of the service requested

## SOLUTION

We shall successively describe the three mentioned access controls.

Access control for use of the current terminal

- With mobility, users may make use of any existing and available terminals and network access points. However, this does not mean that the terminal owner (the third party) has to accept such actions on his terminal. He must have the rights to restrict the usage of the terminal, e.g. only allowing certain users while other are prohibited from using the terminal.
- Of course, there are many ways to do this locally, e.g. keep the terminal in a secure place, use local password, etc. but they are cumbersome for the owner and often not secure enough. This is commonly referred as the protection of third parties [2].

- Let us suppose that the mobile distributed system uses agent techniques to support mobility and has the following objects:

- PD\_UA** (ProviderDomain\_UserAgent) representing a user in the telecom system domain.
- TA** (TerminalAgent) representing a terminal in the telecom system domain
- SPA** (ServiceProvider Agent) representing the telecom system in the terminal domain
- NAP** representing a Network Access Point
- TAP** representing a Terminal Access Point
- The information required for carrying out the access control is contained in the Usage\_Restriction component of the object Term\_Profile (see Figure 2) which contains information about the terminal. The attribute All\_Barring is used to specify that only the terminal owner can use the terminal. The terminal owner may also prevent a particular user or

group of users from using his terminal by specifying the attribute Barring\_List or to allow only certain user by specifying an Allowance\_List. Modification of the Usage\_restriction may be provided as an application where only the owner has the right to make access. The details of such an 5 application and the specific layout of the Usage\_Restriction is a matter of implementation and will not be carried further here.

In order to support selective access control of the terminal, the object Terminal\_Data which contains information required for the support terminal mobility such as state, NAPid, etc. may be equipped with a table of controlled and cleared users, called ClearedUserTable, as shown in Figure 3. The ClearedUserTable contains the references or CIIs (Computational Interface Identifier) of the PD\_UAs whose access have been controlled.

10

15

The TA assumes the Access control Enforcement Function (AEF). The Access control Decision Function is allocated to an object called ADF. The access control Procedure for use of the terminal is shown in Figure 4.

20 1. Every time an operation OpX arrives at the TA, the TA will check whether the identifier of the originating or addressed PD\_UA is on the ClearedUserTable or not. If it is, TA will do the transfer of OpX If it is not, TA will initiate the access control Procedure.

25 2. TA invokes Get(Usage\_Restriction) on Term\_Profile to acquire the access control Decision Information (ADI).

3. The TA invokes the operation Decision\_Request on the ADF object. The arguments of this operation are the ADI obtained from the

Term\_Profile. The ADF makes the decision and returns the Access\_Result to TA. The Access\_result may be granted or not\_granted. If the Access\_Result is not\_granted, TA returns an error message to the originator of the operation.

5

4. If the Access\_Result is granted, TA invokes the operation Update(CleareduserTable,PD\_UARef) on Terminal\_Data to register the PD\_UA of the newly cleared user.

10 One way of removing entries from ClearedUserTable, i.e the identifier (reference) of a PD\_UA, is to restart a timer each time that entry is accessed. If the timer times out, the entry is removed. Some entries may be permanent, i.e. they are not associated with a timer.

15 This type of access control is only intended to other users than the terminal owner himself. In fact, the terminal owner should never be prevented to use his terminal. The access to the telecom system domain and the access to the services are different types of access controls which are applicable to all the users including the terminal owner.

20

In the object Usage\_Restriction it is therefore necessary to define an additional attribute called NoRestr\_List containing the PD\_UA identifiers of the users who are by default allowed to use the terminal. The identifier of the terminal owner's PD\_UA is one of them. This list must not be ac-

25 cessible to anyone but the telecom system domain operator itself, i.e. even not to the terminal owner. However, it may be possible to define an "emergency user", i.e. every call to an emergency number will be effectual without being checked by the access control service.

Access control for access to the telecom system domain

If the user is allowed to use the terminal, it does not necessarily mean that he is allowed to access the telecom system domain. He may be located outside the roaming area; his credit with the operator may have run out; the authentication mechanism used to authenticate him may also be too weak and he is allowed to access a limited set of services. The list of allowed services for a user at a terminal is hence equal to or smaller than the list of subscribed services. This list is a column in the User\_Registration object in Figure 5.

10

The initiator of the access control service is User<sub>a</sub>. The target is the telecom system domain. The AEF is assumed by the PD\_UA<sub>a</sub>. The ADF is assumed by the object ADF. The access of the user to the telecom system domain may be limited by some parameters such as Roaming\_Restriction, Credit\_Limit, Time\_Restriction, etc. which are contained in the Service\_Restriction attribute of the User\_Profile object. The Service\_Restriction attribute contains also a list of subscribed services. The use of the services in this list may be conditioned by the strength of the method used to authenticate the user, the location of the terminal, the call destination, etc. The Service\_Restriction attribute may thus be quite complex.

A computational model of the access control service for access to the telecom system domain is shown in Figure 6.

25 The access control procedure is as follows:

1. The PD\_UAa object invokes a Get(Service\_Restriction) on the User\_Profile to acquire the access control Decision Information (ADI).

2. The PD\_UAa object invokes a Get(SecurityData) on the User\_Registration object to acquire the contextual information (result from the authentication service).
  - 5     3. The PD\_UA<sub>a</sub> object invokes the operation Decision\_Request on the ADF object. The arguments of this operation are the ADI obtained from User\_Profile and the contextual information obtained from User\_Registration.
  - 10    The ADF may use the access control services offered by the platform or a security system to obtain further contextual information such as time, system status, etc. and the access control policy rules. The ADF makes the decision and returns the Access\_Result to PD\_UAa together with SecurityData and AllowedServices.
- 15           The Access\_result may be granted, not\_granted or suspended. If the Access\_Result is Suspended, depending on the access control Policy the terminal will be, temporarily or permanently no longer allowed to access the telecom system domain.
- 20           If the Access\_Result is not\_granted, the SecurityData returned to the PD\_UA<sub>a</sub> from the ADF will contain a NoOfRetries field increased by one. The NoOfRetries field indicates the number of unsuccessful access attempts and is used as contextual information for the next access control service. The PD\_UA<sub>a</sub> will invoke the operation Set(SecurityData) on the User\_Registration object to save the updated SecurityData. Depending on the operation which initiated the access control procedure, the PD\_UA<sub>a</sub> will return the appropriate response containing a not\_granted status.
- 25

When the Access\_Result is granted, the AllowedServices containing an updated list of allowed services is returned to the PD\_UA<sub>a</sub>. The PD\_UA<sub>a</sub> will invoke the operation Set(AllowedServices) on the User\_Registration object to save the updated AllowedServices. Depending on the operation 5 which initiated the access control procedure, the PD\_UA<sub>a</sub> will return the appropriate response containing a granted status.

The user can now request the wanted service and is hence subject to the access control for the requested service.

10

#### Access control for the requested service

There are two types of services, outgoing and incoming. Outgoing services are initiated by the user himself while incoming services are delivered to him by other users or applications.

15

For outgoing services, the initiator of the access control service is Usera. For incoming services the initiator is some other user or application. The target is the requested service. The AEF is assumed by the PD\_UA<sub>a</sub>. The ADF is assumed by the object ADF. The access of the user to the requested service is limited by the information contained in the Allowed-Service list of the User\_Registration object. Another restriction originates from the Usage\_Restriction contained in the object Terminal\_Data and set by the terminal owner. The terminal owner may allow only one or both of the two service types to be performed on his terminal. The attributes OutBarring and InBarring of the Usage\_Restriction is used to specify, respectively, the users who are not allowed to use outgoing services and incoming services on the terminal (or who are allowed).

The access control procedure is as follows:

1. The PD\_UA<sub>a</sub> object receives a ServiceReq(ServId) from either the user or an application.
  - 5     3. The PD\_UAaobject invokes a Get(Usage\_Restriction) on the TA.
  3. The PD\_UA<sub>a</sub> object invokes a Get(AllowedService) on the User\_Registration.
  - 10    2. The PD\_UA<sub>a</sub> object invokes the operation Decision\_Request on the ADF object. The arguments of this operation are the ADI obtained from the User\_Registration object and the TA.
- The ADF makes the decision and returns the Access\_Result to PD\_UA<sub>a</sub>.
- 15    The Access\_result may be granted or not\_granted. Depending on the operation which initiated the access control procedure, the PD\_UA<sub>a</sub> will return the appropriate response to the requester. The access control on the requested service is shown in Figure 7.
- 20    MERITS OF THE INVENTION

This invention has high level of flexibility in the sense that it can be used in different mobile distributed systems, public or private, local-area or wide-area, wireline or wireless.

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It is a complete access control in the sense that it contains all the three types of access control.

Important features of the invention may be listed as follows:

- 1: A user access control is introduced for distributed systems that supports personal mobility.
- 5    2: Such a user access control consists of access control for the use of the terminal, access control to the telecom system and access control to the requested services.

## REFERENCES

10

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2.    ETSI. NA:UPT: Service Requirements on protection of third parties. Version 1.0.0

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3.    ITU-TS Draft recommendation F.851. Universal Personal Telecommunication (UPT) - Service Description. International Telecommunication Union-Telecommunication Standardization Sector, (Version 10) Jan 94.

4.

ETSI. NA:UPT: Service Requirements on protection of thirdparties. Version 1.0.

**P a t e n t c l a i m s**

1. Arrangement for improving security in a communications system, especially a telecommunications system, said system comprising distributed hardware and software components which interact in order to provide services to one or more users,  
5 characterized by introducing in said a generic access control therein for thereby enforcing security.
  
- 10 2. Arrangement as claimed in claim 1,  
characterized in that said generic access control is related to personal mobility.
  
- 15 3. Arrangement as claimed in claim 1 or 2,  
characterized in that said generic access control is introduced in any Open Distributed Processing (ODP) system and/or any common Request Broker Architecture (CORBA) system, or similar.
  
- 20 4. Arrangement as claimed in any of the preceding claims,  
characterized in that said generic access control is introduced in any mobile distribution system, offering any type of applications, i.e. voice, data, image, video, interactive, multimedia, etc.
  
- 25 5. Arrangement as claimed in any of the preceding claims,  
characterized in that before any user is allowed to access the services offered by the related telecom system domain, the user will be subjected to several types of access controls.
  
6. Arrangement as claimed in claim 5,

characterized in that said access control preferably comprise access control for the use of the terminal, access control to the telecom system and access control to the requested services.

- 5 7. Arrangement as claimed in any of the preceding claims, characterized in that the information required for carrying out said generic access control is contained in a Usage Restriction component of a Term Profile object containing information about the terminal in question.

10

8. Arrangement as claimed in claim 7, characterized by a Terminal Data object containing information required or supporting terminal mobility, for example state, NAPid (Network Access Point id), etc., said object also comprising a table of controlled and cleared users.

- 15 9. Arrangement as claimed in claim 7 or 8, characterized in that said Term Profile object and said Terminal Data object which are found in the telecom system domain, are controlled by agent techniques, comprising inter alia a Terminal Agent (TA).

- 20 10. Arrangement as claimed in any of claims 7-10, characterized in that in the telecom system domain there is provided one or more timers which are restarted each time and entry is accessed the setting of the timer deciding the maintenance of said entry, and that entries not associated with a timer is regarded as permanent entries.

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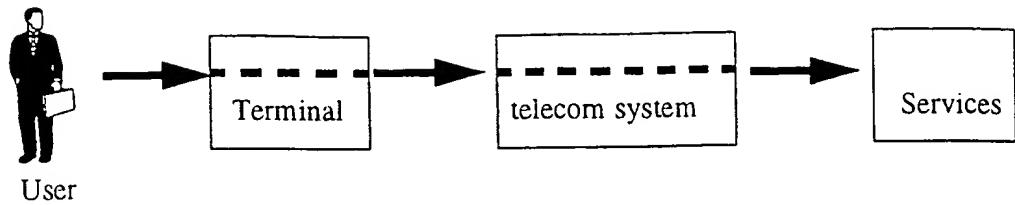


Figure 1 The user's access to the services

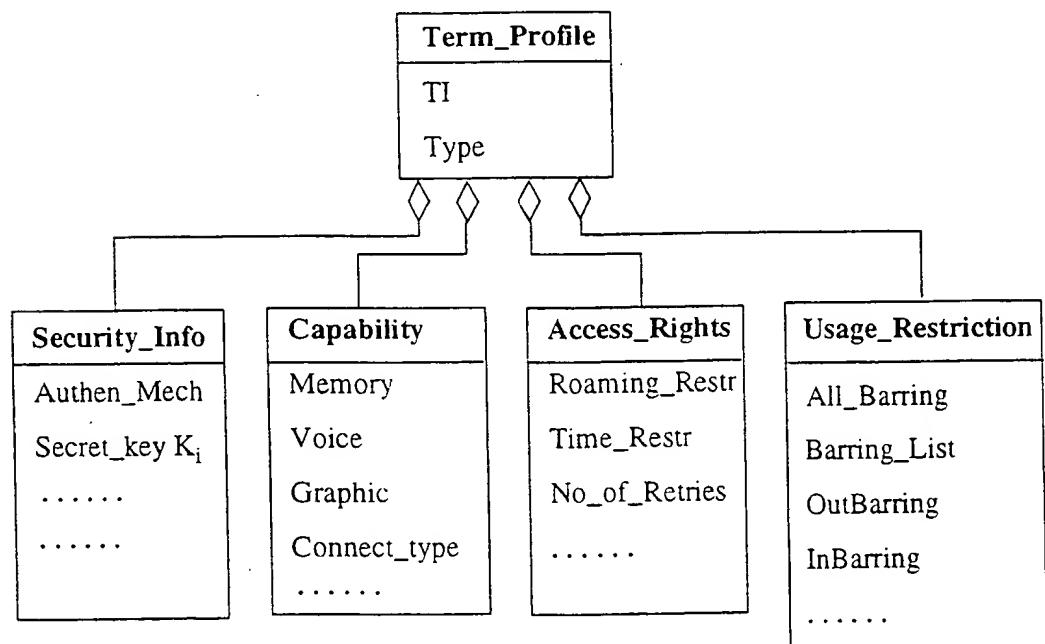


Figure 2 The Information object Term\_Profile

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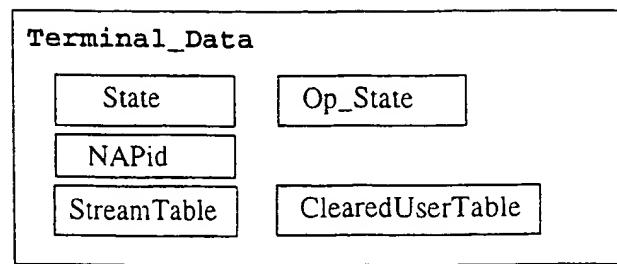


Figure 3 The Terminal\_Data object

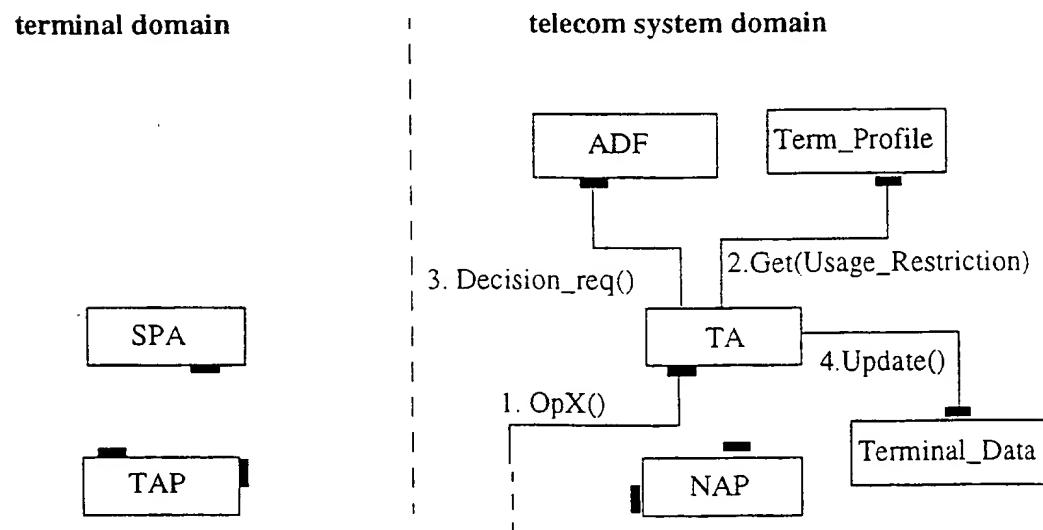


Figure 4 A computational model of the access control of the user for use of the terminal

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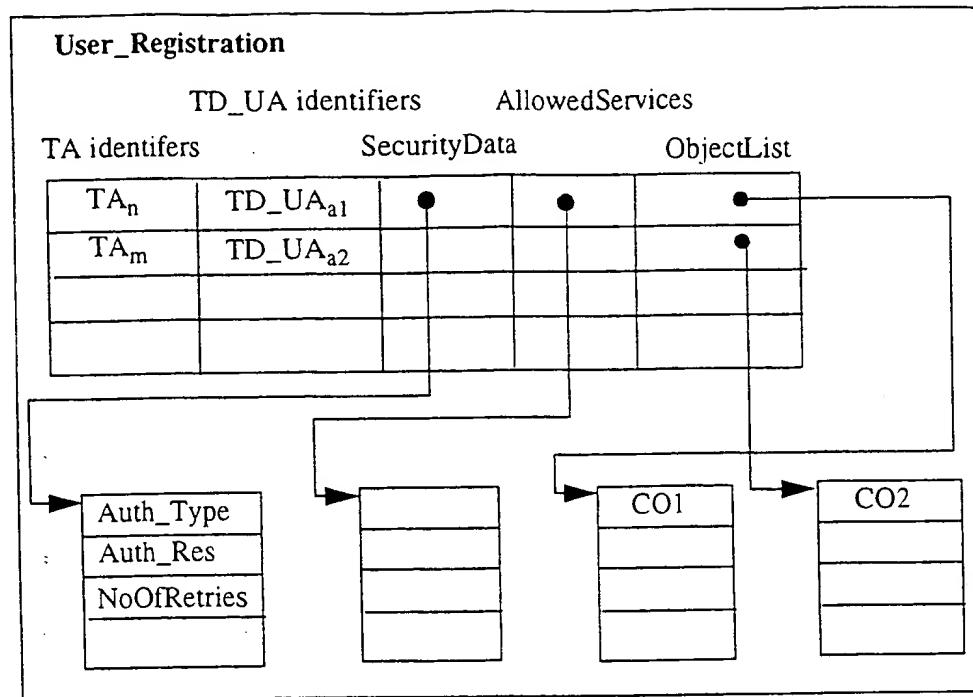


Figure 5 A User\_registration object containing the list of allowed services

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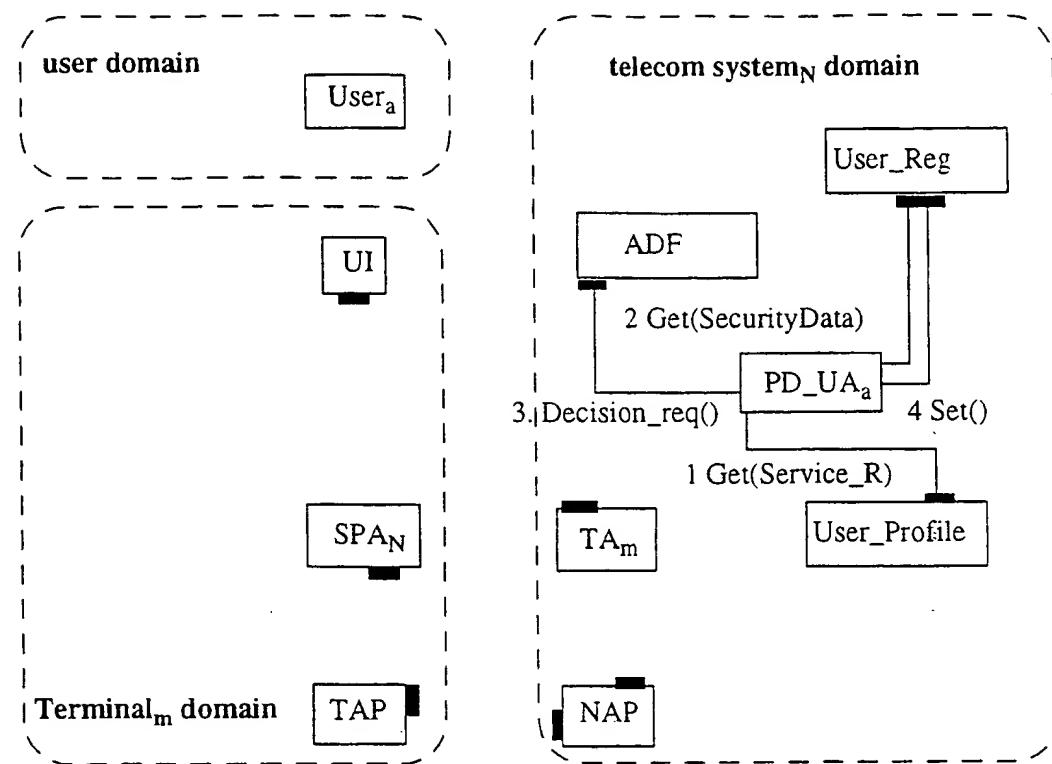


Figure 6 access control on the access to the telecom system

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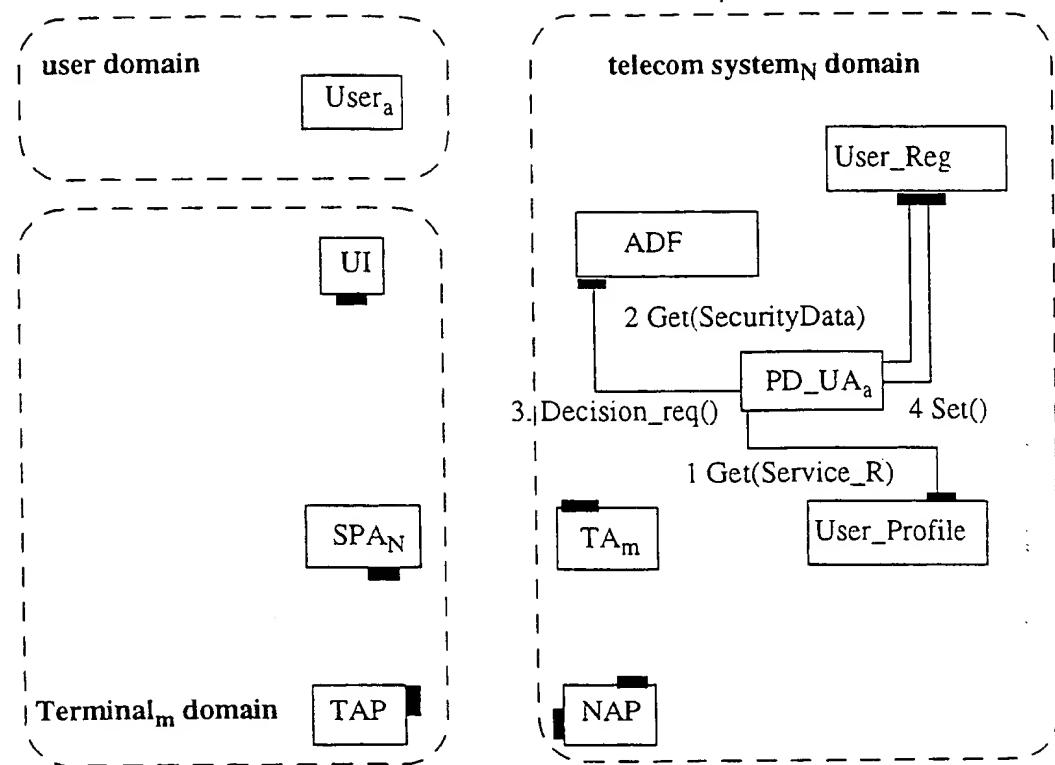


Figure 7 access control on the access to the telecom system

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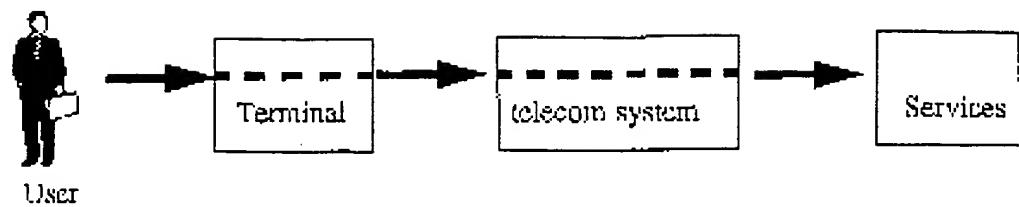


Figure 1 The user's access to the services

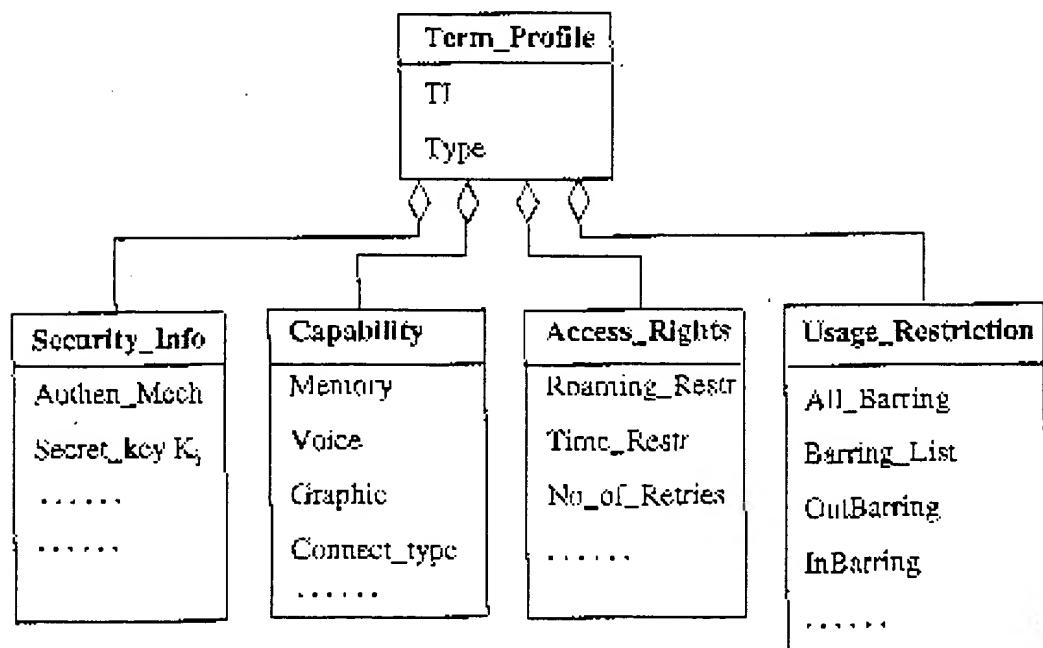


Figure 2 The Information object Term\_Profile

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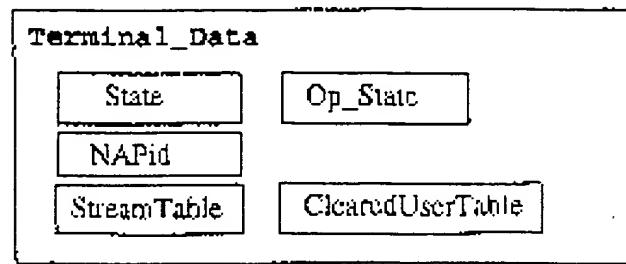


Figure 3 The Terminal\_Data object

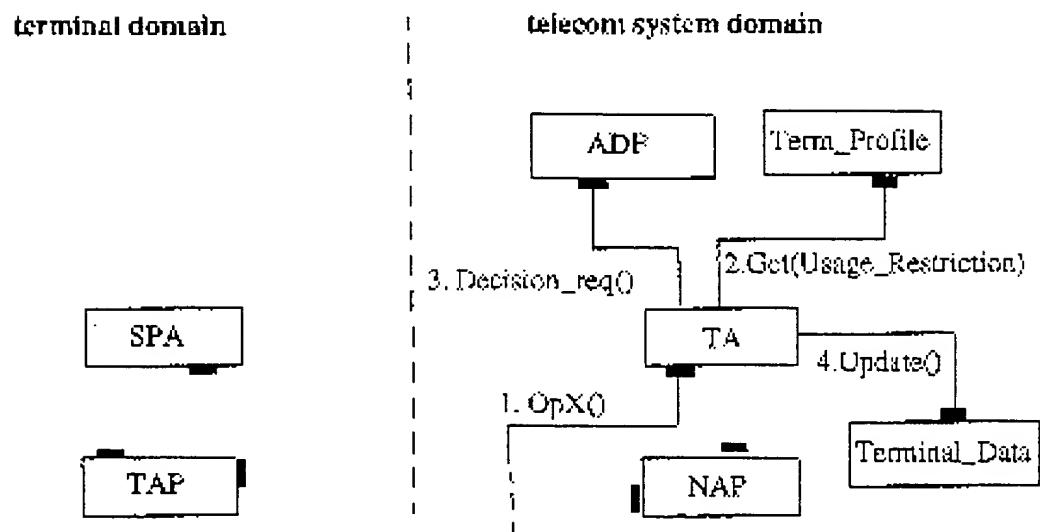


Figure 4 A computational model of the access control of the user for use of the terminal

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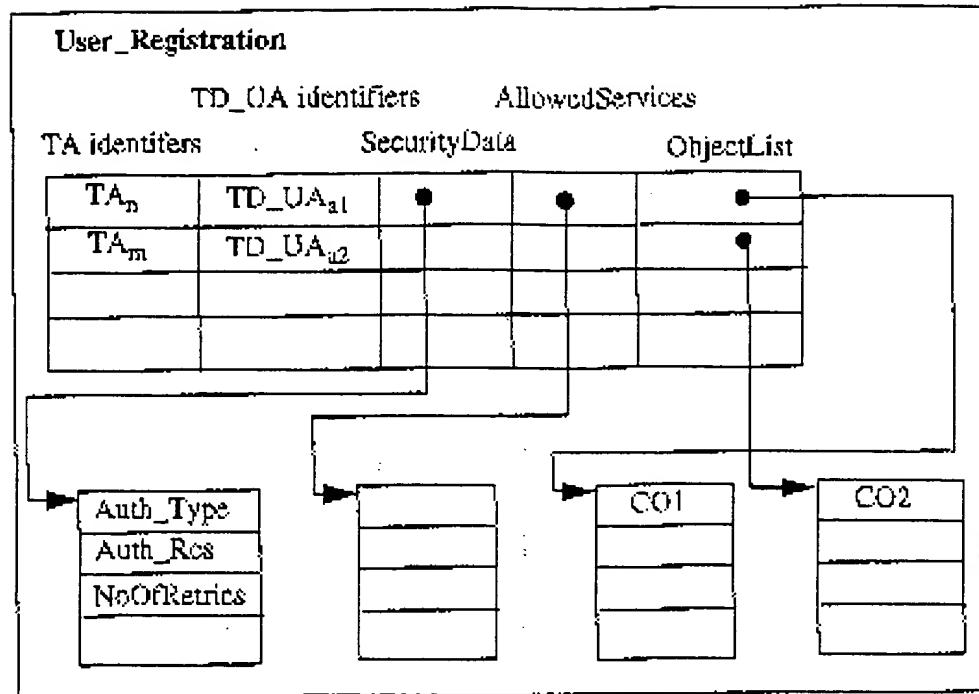


Figure 5 A User\_registration object containing the list of allowed services

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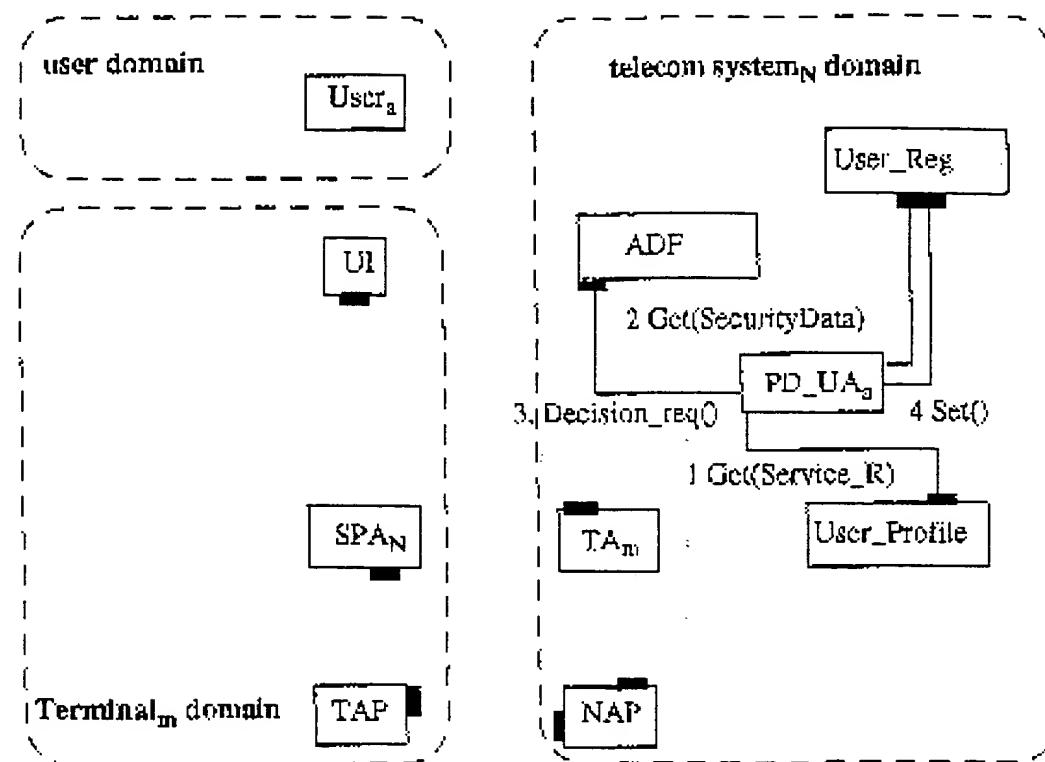


Figure 6 access control on the access to the telecom system

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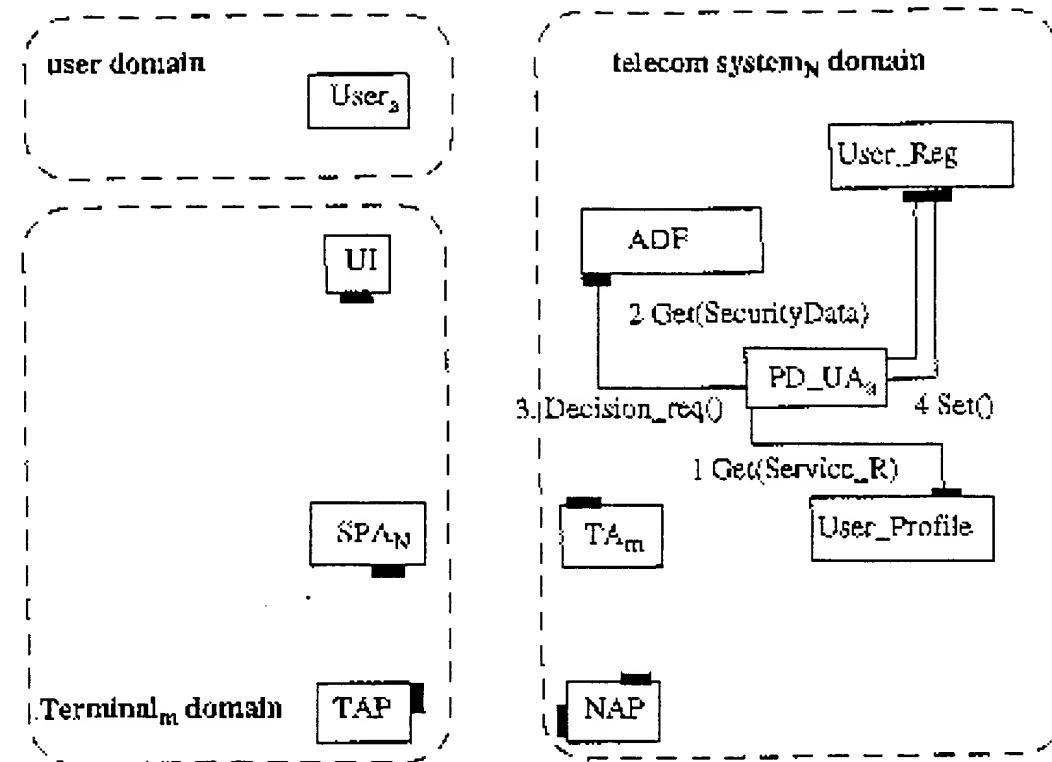


Figure 7 access control on the access to the telecom system

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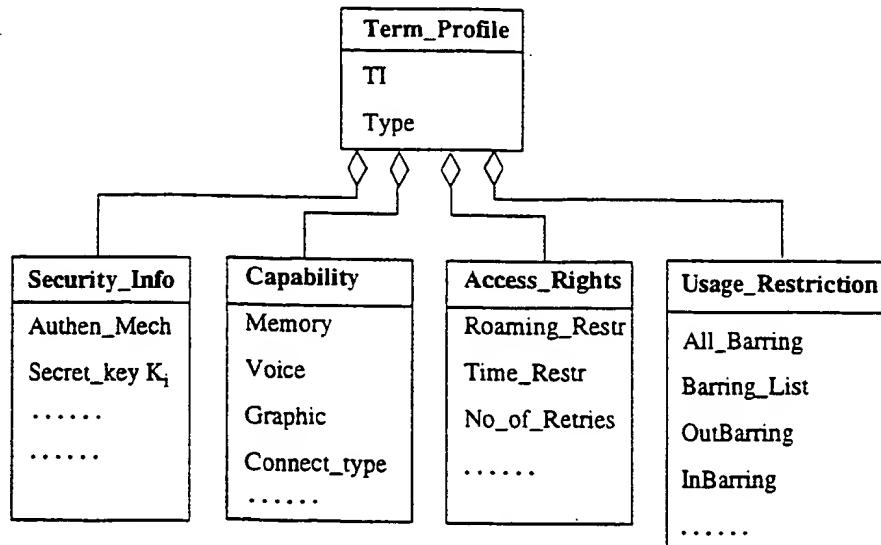
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<p>(21) International Application Number: <b>PCT/NO98/00109</b></p> <p>(22) International Filing Date: 2 April 1998 (02.04.98)</p> <p>(30) Priority Data: 971605 8 April 1997 (08.04.97) NO</p> <p>(71) Applicant (for all designated States except US): TELEFONAKTIEBOLAGET LM ERICSSON [SE/SE]; S-126 25 Stockholm (SE).</p> <p>(72) Inventor; and</p> <p>(75) Inventor/Applicant (for US only): THANH, Do, Van [NO/NO]; Stjernemyrveien 28, N-0673 Oslo (NO).</p> <p>(74) Agent: OSLO PATENTKONTOR AS; Postboks 7007 M, N-0306 Oslo (NO).</p>		<p>(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).</p> <p><b>Published</b> <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p> <p>(88) Date of publication of the international search report: 10 December 1998 (10.12.98)</p>	

(54) Title: ARRANGEMENT FOR IMPROVING SECURITY IN A COMMUNICATION SYSTEM SUPPORTING USER MOBILITY



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(57) Abstract

The present invention relates to an arrangement for improving security in a communications system, especially a telecommunications system, said system comprising distributed hardware and software components which interact in order to provide services to one or more users, and for the object of implementing this improvement this can according to the present invention be done by introducing in said system a generic access control. In a specific embodiment the invention suggests three types of access control especially related to access to the terminal in question, to the telecom system and to the requested services.

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## INTERNATIONAL SEARCH REPORT

International application No. PCT/NO 98/00109
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## A. CLASSIFICATION OF SUBJECT MATTER

IPC6: H04Q 3/00, G06F 9/46, H04L 9/32

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC6: H04Q, H04L, G06F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

INSPEC, WPIL, EDOC

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	IEEE 46th Vehicular Technology Conference, Volume, 1996, (Atlanta, Georgia, USA), van Thanh et al, "Making Mobility Transparent to the Applications" page 1825 - page 1829  --	1-10
P,A	IEEE Communications Magazine, Volume, March 1998, Juna Pavón et al, "CORBA for Network and Service Management in the TINA Framework" page 72 - page 79  --	1-10
A	IEEE Computer SocietyPress, Volume, November 1995, (Tokyo, Japan), Choong Seon Hong et al, "Service and Connection Management Architecture for distributed multimedia applications" page 296 - page 304  --	1-10

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Date of the actual completion of the international search

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International application No.

PCT/NO 98/00109

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	Teletronikk, Volume, 1994, Tom Handegård, "The TINA Consortium" page 74 - page 80 --	1-10
A	WO 9625012 A1 (BRITISH TELECOMMUNICATIONS PUBLIC LIMITED COMPANY), 15 August 1996 (15.08.96), page 1 - page 15 -- -----	1-10

**INTERNATIONAL SEARCH REPORT**

Information on patent family members

27/07/98

International application No.

PCT/NO 98/00109

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9625012 A1	15/08/96	AU 4629196 A CA 2212377 A EP 0808545 A FI 973240 A GB 9508283 D NO 973623 A	27/08/96 15/08/96 26/11/97 03/10/97 00/00/00 06/10/97

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